

UN-REDD PROGRAMME



Food and Agriculture
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Support to National REDD+ Action: Global Programme Framework 2011- 2015 (SNA) Annex to the 2015 Semi-Annual Progress Update of the UN-REDD Programme

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Policy Board Meeting

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1. Support to National REDD+ Action: Global Programme 2011-2015 (SNA) - Programme Status

Programme title: *“Support to National REDD+ Action: Global Programme Framework 2011-2015”*

Date of UN-REDD Programme Policy Board approval:	9 August 2011
Date of first transfer of funds:	1 November 2011
End date according to Programme Document:	31 December 2015

Implementing partners:

- Food and Agriculture Organization of the United Nations (FAO)
- United Nations Development Programme (UNDP)
- United Nations Environment Programme (UNEP)

Participating UN organization	Approved budget allocation 1 Nov. 2011 – 31 Dec. 2015 (US\$)	Cumulative Expenditures + Commitments 1 Nov. 2011 – 30 June 2015 (US\$)
FAO	42,931,964	38,117,654
UNDP	44,270,801	37,395,517
UNEP	33,833,903	31,478,009
Indirect support costs (7%)	8,472,567	7,249,027 ¹
Total	129,509,235	114,240,207

¹ Indirect support cost calculations currently less than 7%, this will be adjusted at year end reporting.

2. Introduction

The UN-REDD Programme encompasses two delivery modalities - National Programmes and the “Support to National REDD+ Action: Global Programme Framework 2011-2015” (SNA). The results and progress under the SNA in the six-month period, January - June 2015, are integrated and summarised in the [2015 Semi-Annual Progress Update of the UN-REDD Programme](#), to which this Annex is a supplement.

The status and emerging results from the initiatives of Community Based REDD+ and country needs assessments are herewith presented, as well as achievements in the six-month period in each country and region with targeted support. A snapshot of the country-specific support through backstopping is also given.

The financial information at the SNA outcome and output levels and the breakdown by the participating UN organizations, FAO, UNDP and UNEP, for the period 1 November 2011 to 30 June 2015 is found at the end.

3. Community Based REDD+

Under the SNA’s stakeholder engagement outcome, ‘Stakeholders at the community level engage in and contribute to the implementation of REDD+ readiness through support to Community Based REDD+ (CBR+)’ was approved as a new output (4.6) at the tenth Policy Board Meeting for a total amount of US\$ 4 million for work in six countries (Cambodia, Democratic Republic of Congo, Nigeria, Panama, Paraguay and Sri Lanka). CBR+ is a partnership between the UN-REDD Programme and the Small Grants Programme (SGP) of the Global Environment Facility (GEF) to deliver grants directly to indigenous peoples and communities to empower them to fully engage in the design, implementation and monitoring of REDD+ readiness activities, and develop experiences, lessons, and recommendations at the local level that can feed into national REDD+ processes. The grants are delivered through SGP’s tried-and tested decentralized grant-delivery mechanism. The UN-REDD Programme’s contribution to CBR+’s pilot phase (2014-2017) includes US\$ 400,000 per country for direct grants to community-level projects in each of the six pilot countries (the remaining funds support capacity-building for grantees, participatory development of CBR+ country plans, knowledge management, monitoring and evaluation, and programme management). This will be matched by similar co-financing from SGP, which will be provided through in-kind support and through parallel SGP grants that are complementary to CBR+ goals.

Existing SGP National Steering Committees in the six pilot countries have been supplemented with REDD+ experts to form CBR+ National Steering Committees, which are providing overall guidance to CBR+ at the country level, including reviewing and approving CBR+ project proposals. Grants of up to USD 50,000 are available for a range of community-level activities such as deliberation and planning to address the local drivers of deforestation and forest degradation, building the capacities of communities to engage in REDD+ readiness processes, clarifying and advancing the definition of land use rights, exploring incentive mechanisms for REDD+ actions, and advancing the implementation of safeguards for REDD+, among others.

As of mid-2015, the CBR+ is fully operational with Country Plans approved in all six pilot countries. These Plans are already guiding the design and selection of the first round of CBR+ projects. Extensive capacity-building workshops have been provided to grassroots stakeholders to raise awareness on REDD+ and the CBR+ initiative, and to enhance their capacity in proposal writing, as

well as project design and management. The CBR+ initiative is already achieving tangible benefits in terms of community-level capacity-building and learning, helping stakeholders understand REDD+ approaches and translate them into projects and activities.

Over 170 CBR+ project proposals have been received so far in five of the six pilot countries, with this number rising as submissions are still being accepted in some countries. This volume exceeds original expectations, indicating the high demand from communities for the type of support CBR+ grants provide and the value of this initiative, which reinvigorates the interest of grassroots stakeholders in national REDD+ efforts. Over the last few months, CBR+ National Steering Committees (NSCs) have reviewed proposals and extensive support has been provided to candidates to strengthen project design and impacts.

In Cambodia, the Democratic Republic of Congo (DRC), Panama and Sri Lanka, the first rounds of CBR+ grants have been disbursed. (In most pilot countries grants are allocated and disbursed in phases so as to promote adaptive management of this new initiative). In Nigeria, the CBR+ NSC is in the final stages of the project selection and approval process with grantees expected to be selected in November 2015. In Paraguay, a series of stakeholder workshops and training sessions are underway in preparation for the issuing of the call for proposals.

Over the coming year, the UN-REDD Programme will work with the six pilot countries to assess project typologies and capacity-building needs to ensure lessons inform and enhance subsequent rounds and strengthen the overall initiative.

4. Country needs assessments

The initiative of individual country needs assessments (CNAs), supported by the UN-REDD Programme and coordinated by the Secretariat, was presented as a new initiative (output 8.7) in the 2014 SNA workplan and budget, approved at the eleventh Policy Board meeting. The total budget is US\$ 1.2 million. The assessments are aimed to more effectively provide for country leadership towards more integrated and better coordinated programmes and readiness activities. After a [call for proposals](#) ([Spanish](#) and [French](#)) in 2014, proposals from the following countries and regions were approved - Guatemala, Madagascar, Malawi, Peru, South Sudan, Tunisia and Zimbabwe; and Mesoamerica (Mexico, Honduras, Colombia, El Salvador and Dominican Republic) and West Africa (Benin, Côte d'Ivoire, Ghana, Guinea, Guinea Bissau, Liberia, Nigeria, Sierra Leone and Togo). The scope of the proposals varied significantly - some assessments have a broad scope, assessing REDD+ readiness, gaps and needs for development of a National REDD+ strategy, while others are focused on certain areas such as land tenure and carbon rights, national forest monitoring system (NFMS), measurement, reporting and verification (MRV) and reference emission level (REL), stakeholder engagement, institutions involvement and creating synergies between actors. Several of the countries have also received targeted support from the UN-REDD Programme, which has been taken into consideration during the implementation of the assessments to avoid overlap and promote synergies.

As anticipated, the tailor-made assessments are allowing the process to identify more specific needs and to consider the rich local contexts. Initial assessments are rich in specificity that could not have been obtained through broader standardized methodologies. All assessments are being implemented through the UN-REDD agencies, with a lead agency in regular dialogue with the country to ensure that the assessment is led by the countries.

Although these assessments are tailored to the specific country or regional situation, with some variations, most countries have followed a similar methodological structure and sequence as seen below.

1. Develop methodology
2. Review existing information
3. Additional data gathering (typically through interviews and workshops)
4. Initial needs assessment
5. Validation of results (typically through workshops)
6. Final report with action plan / roadmap

By June 2015, all workplans have been finalized with countries in different stages of implementation (Table 1). Madagascar has completed the assessment, pending only one exchange.

Table 1. CNA - summary of approved budget, focus areas and status of assessments in countries and regions.

Country/ region	Approved amount (US\$)	CNA focus area	Status update
Guatemala	40,000	Broad REDD+ readiness, incl. institutional and financial needs.	Specific methodology developed and agreed. Initial assessments on each pillar expected before November 2015
Madagascar	122,000	Land tenure, NFMS, Vision/ inter-sectoral dialogue.	All reports have been finalised and validated. Pending exchange with the Republic of the Congo. (by September 2015)
Malawi	64,675	Policy and legal frameworks, Strategy-building capacity and roadmap.	Preparation phase. Consultants have been hired and undertaking the preparatory work for the inception workshop.
Peru	96,000	Coordination of support, needs associated with results-based payments (RBPs) and sequencing of activities.	Preparation phase. ToR for consultant agreed with focal point. Arrangements finalized among agencies. FAO will manage funds.
South Sudan	80,001	Broad REDD+ opportunities, support needed by source, prioritize and sequence activities, assess opportunities to connect to knowledge networks.	Preparation phase International consultant identified, relevant documents reviewed and approved by the government, including adjusted budget.
Tunisia	117,400	Institutional arrangements, NFMS.	Preparation phase. The international consultant for ICA re-hired Inception workshop held on 10-11 June.
Zimbabwe	105,000	NFMS with broader stakeholder mapping.	Preparation phase. Scope and budget was revised and agreed and after further revisions has covered.
Mesoamerica	385,000	NFMS with a focus on south-south cooperation opportunities.	Assessment and validation workshops have taken place. Report and cooperation plan under country review.
West Africa	264,366	NFMS with a focus on South-south cooperation opportunities.	Preparation phase. Inception workshop planned in August. Methodological exchanges organized between Agency leads and consultants from Mesoamerican CNA.

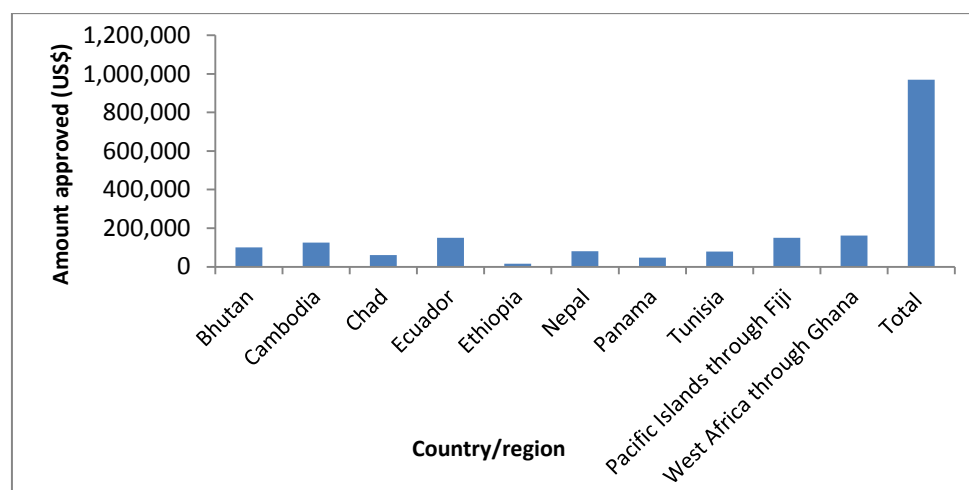
5. Country-specific support

Country-specific support provided through the SNA includes targeted support and backstopping. Targeted support is requested by countries following the [procedures](#) clarified at the eighth Policy Board meeting in 2012. The request is sent from the country's National REDD+ Focal point to the UN-REDD Programme Secretariat, which together with the participating UN organizations assess the request. In comparison, backstopping support is requested by liaising directly with the participating UN organizations. The following sections provide status updates of the two types of support as at 30 June 2015.

5.1 Targeted support

Since the demand-driven mechanism was introduced in 2012 the total amount made available and number of incoming requests from countries for targeted each year has grown steadily with a peak reached in 2014. In the first half of 2015, ten targeted support requests from eight countries (Bhutan, Cambodia, Chad, Ecuador, Ethiopia, Nepal, Panama, Tunisia) and two regions² through 1) a request from Fiji for Fiji, Papua New Guinea [PNG], Solomon Islands and Vanuatu; and 2) a request from Ghana for Benin, Burkina Faso, Côte d'Ivoire, Gambia, Ghana, Guinea-Bissau, Guinea-Conakry, Liberia, Mali, Niger, Nigeria, Senegal, Sierra Leone and Togo, were approved. The total amount approved was US\$ 970,000. Figure 1 shows the amount approved for each country and region.

Figure 1. Approved amount to countries and regions in targeted support from 1 January to 30 June 2015.



Support was requested and approved for the following areas:

- Development and preparation of FREL/FRL submission to the United Nations Framework Convention on Climate Change (UNFCCC), including facilitating information exchanges among countries at different stages of the process. (Requests from Cambodia, Ghana, Nepal and Fiji with exchange with neighboring countries);
- Forest and pastoral land issues and related implications for REDD+ (request from Tunisia);
- Finalisation of NFMS and capacity building on data management (request from Panama);

² Regional requests refer to requests that include several neighboring countries.

- National approach to safeguards, complete safeguards information system (SIS) design and improved understanding of risks and benefits (request from Chad);
- Strengthening, as appropriate, institutional, political, legal and operational frameworks for the implementation of national REDD+ strategies, with support to a finance strategy and measures to address drivers of deforestation (request from Ecuador); and
- Socio-economic study on the contribution of forests (request from Ethiopia).

Support to regional capacity building and south to south exchange on development and drafting of forest reference emissions level/forest reference level (FREL/FRL) is exemplified by the approved support to the joint request from Fiji, PNG, Solomon Islands and Vanuatu. Similarly, support to technical capacity needs regionally on national forest carbon inventories was approved following the request from the coalition of the fourteen West African countries.

From 2012 until 30 June 2015, 41 countries and three regions have been supported in their REDD+ efforts through this mechanism, with more than US\$ 15.3 million approved in total. The financial delivery (expenditure of approved amount) of all completed targeted support activities was 92% as at 30 June 2015. Implementation of targeted support is currently on-going in 29 countries and three regions. Given that the SNA is coming to an end, the implementation under the SNA of targeted support will phase out in 2015³ with a few implementation periods of already approved requests stretching into 2016/2017.

In the reporting period, a Guidance Note was circulated inter-sessionally (accessible [here](#)) which clarified the procedures and approach to develop joint targeted support proposals with civil society organisations (CSOs) and/or indigenous peoples. While there have been no joint proposals received as at 30 June 2015, several of the countries with on-going targeted support are receiving support on elaborated stakeholder engagement components at national and sub-national levels, for example Bhutan, Cambodia, Costa Rica, Ecuador, Ethiopia, Honduras, Kenya, Mexico, Myanmar and Peru.

The following section presents selected key results and progress in the reporting period by countries and regions with targeted support.

Explanation to abbreviations used in the following section:	
TS1, TS2...	The numbers define the specific targeted support in a sequence of targeted support approved to the country. TS1=first request approved, TS2, TS3... additional support approved ("top up").
R1, R2....	This refers to the expected result as per approved request, project document, results framework or similar to which the reported progress refers to as at 30 June 2015.
X%	Per cent refers to the financial delivery (expenditure of approved amount as at 30 June 2015).
NP	NP indicates that the country has a National Programme funded by UN-REDD Programme
MRV& M, GOV, SE, SF&MB, GE&PS	These are the work areas (SNA Outcomes) which the targeted support refers to; Measurement, Reporting and Verification, and Monitoring (MRV& M); Governance (GOV); Stakeholder Engagement (SE); Safeguards & Multiple Benefits (SG&MB); Green Economy & Private Sector Engagement (GE&PS).

³ Targeted support requests referring to the SNA will only be approved until 30 September 2015.

COUNTRY REQUESTS

ARGENTINA (NP)

Support to strengthen national capacities for forest monitoring and; incorporate environmental and social safeguards for REDD+ Programme. (MRV&M, SF&MB, GE&PS)

Request for a total amount of US\$ 191,512 approved in November 2012.

The support on approaches to Strategic Environmental and Social Assessment (SESA) closed in 2012 with 74% delivery. The on-going MRV&M component has 90% delivery.

Key results & progress in Jan-June 2015:

- **R1.1:** Improved knowledge on methodologies on monitoring of degradation, through the organization of workshop and south-south cooperation. 40 participants (40% women) from Argentinian government and academia.
- **R1.2:** Technical capacities for the maintenance and population of the NFMS web dissemination Platform increased through remote IT support, monitoring experts and developers/IT support in the 'Secretaria de Ambiente y Desarrollo Sustentable' (SayDS). Deployment of the server for the latam, development and optimization of the back end.
- **R1.3:** Evaluation of the Platform preparation vis-à-vis the launch of its beta version.

BANGLADESH (NP)

Support to implement Bangladesh's REDD+ Readiness Roadmap by addressing corruption risks and building capacity on social impacts; develop MRV Action Plan and national REDD+ readiness; support to capacity building on geospatial data processing and database management; and capacity building on Greenhouse gas (GHG) inventory of land use, land-use change and forestry (LULUCF) sector. (MRV&M, GOV, SF&MB)

Requests for a total amount of US\$ 357,500 approved in 2012- 2014.

TS1, TS2 and TS3 approved in 2012 (US\$ 262,500); TS4 approved in July 2014 (US\$ 95,000).

TS1-TS3: 93%, TS4: 10% delivery.

Key results & progress in Jan-June 2015:

TS1, R1: The REDD+ Integrity Study was refocused in consideration of the National Programme

towards an analysis of existing Climate Fund Management arrangements in place in Bangladesh, to extract lessons learned and best practices on issues of transparency, representation, oversight, conflict of interest, complaints and redress, alignment across sectoral institutions and performance monitoring and evaluation.

- **TS4, R1,2:** Data collection for GHG inventory commenced and capacity for GHG improved with a training completed in June to 25 technical officers (32% women).

BENIN

Support to improved understanding of the tenure situation in the country to guide the national REDD+ strategy development. (GOV)

Request for amount of US\$ 79,500 approved in December 2014. 0% delivery.

Key results & progress in Jan-June 2015:

- Preparation phase (Letter of Agreement with Government (*Direction Générale des Forêts et des Ressources Naturelles*) was signed in July 2015 to prompt implementation.

BHUTAN

Support to developing REDD+ Readiness in Bhutan – Monitoring and MRV for REDD+; to NFMS action plan development and capacity building; to support to building capacity required for generating information for the NFMS and MRV and builds on the results achieved under the previous targeted support; to National REDD+ Strategy development to ensure safeguards including benefit distribution systems and anti-corruption measures, to capacity building on environmental and social safeguards; and to stakeholder engagement; analysis of REDD+ environmental risks and benefits, PLR analysis. (MRV&M, GOV, SE, SF&MB)

Requests for a total amount of US\$ 448,250 approved in 2012 and 2014.

TS1 approved in July 2012 (US\$ 103,250), TS2 approved in Feb 2014 (US\$ 245,000) and TS3 approved in April 2015 (US\$ 100,000)

TS1: 100%, TS2: 104% TS3: 0% delivery.

Key results & progress in Jan-June 2015:

- **TS2, R2:** Support by the REDD+ Technical Working Group to prepare the draft stakeholder engagement guidelines and communication materials.
- **TS2, R5:** NFMS action plan validated at a stakeholder meeting in January (30 participants, 43% women).
- **TS3, R1:** Capacity to analyse Bhutan's first National Forest Inventory enhanced with a technical support mission on the Open Foris Collect tool. Transfer of national forest inventory (NFI) data to the Open Foris Collect database is ongoing.
- **TS3, R2:** Capacity on FRL improved with a capacity building workshop during June (25 participants, 40% women). FRL action plan drafted at the same workshop in June.

CAMBODIA

Supplementary support to Cambodia's NP to support the strengthening of the Community Networks to participate fully and effectively in the REDD+ efforts; and women effectively included into management of the REDD+ readiness process, and awareness raised on REDD+ through various means of communications; and support to strengthening capacity for development of the FREL/REL, and facilitate dialogue and exchange of information between Cambodia, Indonesia, Malaysia, Nepal and Viet Nam on FREL/FRL development as they begin to interact with UNFCCC. (MRV&M, SE)

Multiple requests approved in 2013, 2014 and 2015. Total amount approved: US\$ 200,000.

TS1 approved in Nov 2013 (US\$ 10,000), TS2 approved in June 2014, US\$ 65,000) and TS3 approved in April 2014 (125,000)
TS1-TS3: 26% delivery.

Key results & progress in Jan-June 2015:

- **TS2, R2:** A gender checklist for the draft National REDD+ Strategy developed as a tool to provide feedback for the draft strategy. It was shared with the REDD+ Consultation Group and Technical Team members during the awareness raising workshops conducted by the Gender Group. In June 2015, they also raised awareness on the topic of "Gender and REDD+" on the radio talk show, "Women's Media Centre of Cambodia (WMC)".
- **TS3:** South-south knowledge exchange and capacity building on FREL/FRL realised with a sub-

regional workshop consisting of technical experts from Indonesia, Nepal, Malaysia and Viet Nam, convened in Siem Reap, Cambodia (27 participants, 30% women).

CAMEROON

Support to building national capacity for stakeholder participation in forest governance (FLEGT-REDD+ Interface). (GOV)

A request for US\$ 35,800 approved in August 2013. 100% delivery.

- No on-going activities: targeted support completed and reported.

CHAD

Support to development of a national approach to safeguards matters and to improve understanding of the risks and benefits associated with REDD+. (SF&MB)

A request for US\$ 60,000 approved in Feb 2015.

- The implementation has not yet started: response from the Government counterpart is awaited.

CHILE

Support to design and pilot implementation of a nationally replicable Environmental Forest Fund, thus allowing testing in practice a scheme of profit distribution and results-based payments targeted to smallholders. The pilot will seek to complement and enhance existing forest development tools, and eventually future public policies. (SE and GE&PS)

A request for US\$ 560,000 approved in Nov 2014. 0% delivery.

Key results & progress in Jan-June 2015:

- Project approved and signed in April 2015.
- **R1-3,** preparation phase: joint arrangements for staffing and work plan have been agreed between the National Forest Corporation or CONAF (*Corporación Nacional Forestal*) and the UN-REDD Programme. ToRs include an analysis of national and international mechanisms that can be constituted as possible financing instruments as well as an economic and cost analysis by strategic mitigation option for REDD+ in Chile. The terms of reference (TOR) integrates gender considerations, including within its monitoring process and performance indicators.

COLOMBIA

Support to facilitation of stakeholder involvement for updating the country's REDD+ readiness preparation proposal. (SE)

A request for US\$ 50,000 approved in Feb 2013.
100% delivery.

- No-ongoing activities; targeted support completed and reported.

- **R 4-5, 8:** The identification and cost analysis of policies and measures (PAMs) has been initiated as part of the process for the ERPD / National REDD Strategy.
- **R4:** Scoping private investment opportunities to finance the National REDD+ Strategy started
- Adaptation of PES.
- Institutional analysis for Costa Rica's National REDD+ strategy launched.

COSTA RICA

Support i) to develop and implement an SIS, integrating the social and environmental principles and criteria (SEPC) in the REDD+ strategy ii) to National Forest Monitoring System Support iii) to private sector engagement with the main drivers sector (pineapple industry), REDD+ financing and adapt Payments for Ecosystem Services (PES) as a benefit sharing mechanism for the REDD+ strategy and iv) to Knowledge Management, document and systematize indigenous and peasant consultations for REDD+ in Costa Rica. (MRV&M, GOV, SE, SF&MB, GE&PS)

Requests for a total amount of US\$ 1,002,383 approved in 2012-2014.

TS1 approved in Oct 2012 with top up in July 2013 (US\$ 242,383), TS2 approved in Aug 2014 (US\$ 760,000).

TS1: 100%, TS2 30% delivery.

TS1 completed.

Key results & progress in Jan-June 2015:

TS2:

- NFMS - Revision and update of the work-plan component of NFMS through an interagency mission and communication with the national counterpart (FONAFIFO).
- Knowledge Management and stakeholder engagement systemization of indigenous peoples and civil society participation, including documentation of process and lessons learned.
- Strategy and involvement of the private sector in REDD+.
- **R3:** Drivers of deforestation (pineapple) monitored.
- **R4:** Private investment opportunities for REDD+ related activities launched.
- **R7:** First draft of Costa Rica's National REDD+ strategy developed.
- Costing of REDD+ policies, activities and measures supported.

CÔTE D'IVOIRE (NP)

Support for official launch of the implementation of REDD+ mechanism and institutional arrangements; R-PP development; geographic information systems (GIS) and remote sensing; stakeholder engagement activities; roadmap to improve the legal framework for the implementation of REDD+; and assess the feasibility of a national system of Payments for Environmental Services (PES), as well as to strengthen the engagement of the private sector in REDD+. (MRV&M, GOV, SE, GE&PS)

Requests for a total amount of US\$ 525,000 approved in 2012-2014.

TS1 approved in Nov 2012 (US\$ 235,000).

TS2 approved in Dec 2013 (US\$ 60,000), TS3

approved in Aug 2014 (US\$ 230,000).

TS1: 79%, TS2: 99%, TS3: 69% delivery.

TS2 completed.

Key results & progress in Jan-June 2015

TS1:

- **R3:** A training on GHG inventory was executed, gathering 25 people (16% women) in April 2015 in Abidjan. The objective was to provide a step-by-step hands-on training on the methodology of preparing a GHG Inventory. Results include the identification of future actions, including (1) further training to strengthen national capacities for the preparation of GHG inventories; (2) implementation of agreements for data sharing, archiving and documentation for GHG inventory; (3) supporting the preparation of a comprehensive inventory for the LULUC sector; (4) improving data collection and compilation techniques, and (5) contributing to the production of an improved third national communication for Côte d'Ivoire.
- **R3:** A training on Land Cover Classification systems (LCCS) was undertaken in July 2015, attended by 21 participants (19% women). It provided participants the practical skills on the use of LCCS-v3 to develop a harmonized land

use/land cover classification system, which will facilitate transfers and exploitation of land use/land cover datasets, and provide a coherent land use/land cover change monitoring for Côte d'Ivoire. The training led to a number of recommendations, including the production of a land use/land cover classification key for Côte d'Ivoire, the creation of a Technical Work Group (TWG) for the harmonization of the classification legend, the signing of an agreement between the SST/REDD+ and SNSF/REDD+ to promote the use of LCCS-v3 as a standard for land use/land cover classification and the production of an exhaustive list of national institutions involved in land use/land cover mapping and raise awareness on the need for a harmonized classification legend.

TS3:

- **R1-2:** Amended version of the Decree on the establishment, organization and operation of the REDD+ National Commission has been validated and shared with relevant Ministers for their imminent signature.
- **R3-4:** The legal and institutional analyses have identified areas and actions needed to establish a legal framework for REDD+.
- **R8:** Draft Feasibility Study on a National PES Scheme conducted. Validation due to take place in by September 2015.
- **R9:** Draft Action plan with a roadmap and recommendations on actions to undertake for PES implementation prepared.
- **R10:** Key stakeholders mobilized on PES and REDD+ through the establishment of a PES Working Group that met three times during the first semester.

DEMOCRATIC REPUBLIC OF CONGO (DRC)

Support to areas of legal reforms for REDD+ implementation and corruption risks; gender dimensions and equity, NFMS and to: validate the findings of the T21 report and conclude the possible integration with the "White Paper" Vision 2035; validate the analytical work on the multiple benefits of REDD+ and conclusion on the integration with the NFMS and SIS; complete and operationalize the social and environmental standards of the national REDD+; develop the national information system elements on safeguards (SIS); and present the approach of the DRC safeguards at COP21. (MRV&M, Gov, SE, GE&PS)*

Requests for a total amount of US\$ 1,027,354 approved in 2012 and 2015.

TS1 approved in Oct 2012 (US\$ 90,000), TS2 approved in July 2014 (US\$ 512,000), TS3 approved in Dec 2014 (US\$ 90,000).

TS1: 62%, TS2: 100%, TS3: 100% delivery.

TS1: completed and reported previously.

Key results & progress in Jan-June 2015

TS2 (completed):

- **R1, R2 & R3:** Finalization of the forest cover change assessment for the 1990-2010, including a presentation of results and media-event.
- **R4:** Completion of the Letter of Agreement with the Forest Inventory division of MDEE, whereby ¾ of the pre Forest Inventory was finalized. A preliminary report with results is available. The remainder of the pre-NFI will be completed through a subsequent TS request.
- **R5, R7, R8:** One training on GHG Inventories was conducted and the GHG inventory database for the agriculture forestry and other land users (AFOLU) sector was updated;
- **R7:** Work on institutional arrangements for enhancing data accessibility between institutions has resulted in the review of legal options to stimulate data sharing. A draft data sharing agreement has been developed following consultations with stakeholders.

TS3:

- **R1:** Validation of a "Feasibility study for the economic valuation and mapping of multiple benefits in the DRC" and the report for a modelling analysis of various development pathways and how the REDD+ strategy can support them, conducted by the Millennium Institute using the Threshold 21 model ('T21 study).
- **R2:** Both studies validated at a workshop. Feasibility study for an economic valuation of REDD+ multiple benefits released in French and English. T21 scenarios study will be launched online in December 2015. A roadmap for the integration of the studies' results into the government's 2035 strategy development agreed.
- **R3,4:** Expert meeting held in Kinshasa on the revision of the REDD+ national standards, followed by a training session (21 people, 14% women) to develop and improve indicators for the standards. This was combined with a training delivered by Forest Carbon Partnership Facility (FCPF) on Social and Environmental Impact Assessments. The joint training was an

opportunity for UN-REDD and FCPF to work on a harmonized approach on the various REDD+ safeguards frameworks developed in DRC, clarifying how the national standards can be applied through REDD+ implementation. Following the workshop, participants were deployed to field projects to gather data on their application of the national standards and assess the feasibility of collecting data on the indicators developed.

ECUADOR

Respond to current needs on M&MRV, complementing Ecuador's UN-REDD National Joint Programme (NJP) in supporting the Establishment of a National GHG Inventory System. (NJP Output 1.4); support to finish the National REDD + Strategy and its plan of action and begin its implementation; support to establishment and / or strengthening institutional, political, legal and operational framework; support to complete the SIS design and develop safeguards briefs. (MRV&M, SE, GOV, SF&MB, GE&PS)

Requests for a total amount US\$ 904,000 approved in 2014 and 2015.

TS1 approved in Sept 2014 (US\$ 225,800), TS2 approved in Jan 2015 (US\$ 528,200), TS3 approved in June 2015 (US\$ 150,000). TS1: 72%, TS2: 0%, TS3: 0% delivery.

Key results & progress in Jan-June 2015

TS1, R1-2:

- Automatization of nearly 40% of the cartographic products process in the Monitoring UNIT of the Natural Heritage Department.
- The National GHG inventory system was designed (estimates for Agriculture and LULUCF 2010 base year in the GHG inventory).

TS2, R1-2: In initial phase.

TS2:

- **R2a:** Progress on safeguards subcomponent of national REDD+ strategy: identified goals, indicators and expected results, and actions to promote and respect safeguards and to implement the SIS.
- **R2b:** Identified and adjusted safeguards criteria and SIS information requirements for REDD+ implementation instruments related to institutional, legal, policy and finance frameworks.
- **R3a:** Updated mapping of existing national information systems relevant to SIS report/development of the Summary of

information (Sol), vital for completing development and initiating implementation of the SIS, including institutional arrangements for its operation.

- **R3b:** Supported several technical meeting and workshops with national actors, focusing on collecting recommendations for addressing and respecting safeguards during implementation of national REDD+ strategy.
- **R3c:** Gathered and reviewed information to update proposal for the first Sol, to cover the REDD+ readiness phase.
- **R3d:** Progress made on REDD+ safeguards activities and expected results as requested by MAE: development and revision of SIS communications documents, feedback on linking safeguards with other REDD+ processes, providing technical inputs to reports/technical documents.

ETHIOPIA

Support to enhance Ethiopia's REDD+ readiness process and support the achievement of the following results: Institutional context analysis report; roadmap linking the value of Ethiopia's forests to its national account; learning exchange visits, documented lessons learnt and debriefing report; regional REDD+ readiness situation analysis and progress reports; and a roadmap linking the value of Ethiopia's forests to its national account. In addition, support to socio-economic valuation study. (GOV, SE, GE&PS)

Requests for a total amount of US\$346,500 approved in 2014 and 2015.

TS1 approved in Oct 2014 (US\$ 330,000) TS2 approved in April 2015 (US\$ 16,500), TS1: 31%, TS2: 68% delivery.

Key results & progress in Jan-June 2015:

TS1 and TS2:

- **R1:** Terms of reference finalized, and consultant recruited to undertake institutional and context analysis (ICA).
- **R2:** Inception workshop to launch the socio-economic evaluation of Ethiopia's forest ecosystem held on 15 April 2015. 30 representatives from government and non-government agencies consulted on scope and data availability for the assessment of the contribution of the forestry sector and forest ecosystems to the national economy of Ethiopia. Link to the media on the UN-REDD website and African Review.

- **R3:** Terms of reference finalized, and consultant hired to draft roadmap. The inception workshop took place 15 -16 April.
- **R5:** For analyzing regional REDD+ readiness situation, pilot region has been selected and a scoping mission with Federal authorities (Minister's advisor and REDD+ Coordinator) took place in the region, including meetings with Governor and regional ministers.

GHANA

Support regular information sharing and awareness raising of stakeholders on common technical areas between REDD+ and FLEGT and encourage participation in the coordination between the processes. (GOV)

Request for US\$ 40,000 approved in May 2013.
100% delivery

Key results & progress in Jan-June 2015:

- The mid-term report was submitted by Government, including all key deliverables. Those include the literature review, stakeholder interviews, the undertaking of two seminars on REDD+ and FLEGT synergies, as well as the development and distribution of monthly news bulletins. The final validation workshop remains to be organized to collect inputs from stakeholders and adopt a work plan on FLEGT and REDD+ synergies. A final report will be submitted by December 2015.

GUATEMALA

Support to capacity building on legal aspects of REDD+ through an assessment of the existing legislation on tenure and property rights related to environmental goods and services. (GOV)

A request for US\$ 21,000 approved in Aug 2013.
100% delivery.

Key results & progress in Jan-June 2015:

- Targeted support completed.
- The legal analysis detected gaps and inconsistencies of the climate change law adopted by Decree in 2013 in relation to REDD+, and tenure aspects.
- Recommendations in terms of legal reforms focused on the need to clarify tenure and carbon rights as well as benefit-sharing mechanisms, and respect indigenous people's rights (in relation to the climate change law, specific regulations will be adopted according to the law).

Reforms proposed in relation to the new forest law, in particular clarifying the terminology associated with environmental services and benefit sharing (validation undertaken).

HONDURAS (NP)

Support to a legal framework for REDD+ under Law of Forestry, Protected Areas and Wildlife. Support to facilitation of stakeholder involvement for updating the country's REDD+ readiness preparation proposal. (GOV, SE)

Requests for a total amount of US\$ 70,000 approved in 2013.

TS1 approved in Sept 2013 (US\$ 25,000),

TS2 approved in Dec 2013 (US\$ 45,000)

TS1: 100%, TS2: 42% delivery.

- **TS1:** Completed.
- **TS2: No results to report** on grievance mechanism work at this time.

INDONESIA (NP)

Support to entering the implementation phase of Participatory Governance Assessment. (GOV)

Requests for a total amount of US\$ 866,329 approved in 2013-2014.

TS1 approved in Feb 2013 (US\$ 325,000), TS2 2013

(US\$ 46,729), TS3 approved in June 2013 (US\$

150,000), TS4 approved in June 2014 (US\$ 344,600)

TS1-TS3: 100%, TS4: 96% delivery.

TS1-TS3, completed.

Key results & progress in Jan-June 2015:

- **TS4:** Indonesia's Environment and Forestry Minister launched on 21 May in Jakarta two reports to inform the country's National REDD+ strategy and better forest governance for REDD+. The reports are : i) Indonesia's Forest Governance Index that builds on the first Participatory Governance Assessment (PGA) to provide information on certainty over forest areas, fairness over forest resources, forest management transparency and integrity, and law enforcement capacity; and ii) an Evaluation of its online forest licensing system (www.tinyurl.com/indonesia-forest-license) that polled over 160 forest license applicants and providers to identify, through 11 indicators, inefficiencies and corrupt practices in the process to apply and obtain forest licenses.

KENYA

Support for anti-corruption, carbon rights and benefit-sharing; workshop and high-level panel on green economy investments in forests; an analysis of the forest-related legal framework relevant to REDD+; drafting REDD+ provisions to clarify and regulate major REDD+ legal issues prioritized by the government, focusing on land and carbon tenure issues; mapping to support land-use planning for REDD+, including multiple benefits; feasibility study on REDD+ related opportunities in improving efficiency in forestry operations and forest product processing. (MRV&M, GOV, SF&MB, GE&PS)

Requests for a total amount of US\$ 966,585 approved in 2012-2014.

TS1 approved in Aug 2012 (US\$ 175,800), TS2 approved in Nov 2013 (US\$ 250,000), TS3 approved in June 2014 (US\$ 541,585).

TS1:86%, TS2: 15%, TS3: 41% delivery.

TS1 completed.

Key results & progress in Jan-June 2015:

TS2:

- The finance study has commenced and is expected to contribute to the National Climate Finance Policy process. A working group involving the Treasury has been established.
- Kenya Forest Service (KFS) Code of Conduct has been reviewed by the Anti-Corruption Task Force for REDD+, and is expected to enhance integrity in KFS and contribute to improved forest resource governance. It is the first time that the KFS code has been opened to other stakeholders.
- Stakeholder awareness-raising campaigns targeting four counties have been launched to increase awareness and engender accountability and support for anti-corruption initiatives initiated by Government.
- Development of two separate guidelines for stakeholder engagement and free, prior and informed consent (FPIC) guidelines are ongoing. The latter will be the first to be developed by an Indigenous organization in a REDD+ context in Africa.

TS3:

- Land cover mapping activities are completed and change detection statistics are available, although further improvements are required, including field validation.

TS4:

- **R3-4:** Two meetings of the national technical working group on MRV held, improving

coordination among actors notably with the System for Land-based Emissions Estimation in Kenya (SLEEK) programme. The legal preparedness work plan has been approved and six policies and bills have been selected for integrating REDD+ considerations based on consultations with relevant stakeholders.

- **R5-6:** Draft report on land-use planning for REDD+, focusing on mapping the multiple benefits of REDD+ and the priority areas for implementing REDD+ actions, developed including 25 maps and multiple collaborators. Validation workshop planned for July, which will also address potential ways to use the results in REDD+ planning and beyond.

MADAGASCAR

Support to legal and regulatory reforms and to organization of multi-stakeholder and multi-sectoral dialogue on the design of the national strategy. (GOV, SE)

A request for US\$ 175,000 approved in Aug 2014.
25% delivery.

Key results & progress in Jan-June 2015:

- **R1,2,6:** The final PLR analysis report and the resulting roadmap are completed, identifying immediate opportunities to modify/improve legislation and policies to better include REDD+ considerations and issues. Specific actions are recommended to take into account REDD+ immediately in the upcoming Forestry Policy and Forestry Code. Other recommendations cover non-forest sectors in order to integrate REDD+ considerations.
- **R3,4,5:** This stream of work has been reorganized following the lessons learnt as part of the CNA, and particularly on the basis of the report on needs' assessment regarding the inter-sectorial dialogue and national vision.
- Madagascar has initiated a broad and inclusive, cross-sectorial consultative process on the national vision for REDD+ with support from the Programme. A report was formulated that set the basis for a fundamental reorientation of national vision for REDD+, fully embedding REDD+ into the national and rural development strategy, and providing important inputs to upgrade the strategy design process with strengthened inter-sectorial and policy-level dialogue.

MALAWI

Support to a review of legal and policy environment, a NFMS roadmap; an Institutional Context Analysis; a stakeholder engagement and participation strategy; and a Corruption Risk Assessment. (MRV&M, GOV)

A request for US\$ 250,956 approved in Dec 2014.
50% delivery.

Key results & progress in Jan-June 2015:

- Harmonization of the CNA and targeted support has been achieved through strong inter-agency coordination. An inception workshop, organized in April 2015, brought over 100 participants from Government, Civil Society, Community Based Organisations and the Private Sector.
- **R1-4:** Key analytics, namely: legal and policy framework assessment, institutional and context analysis, corruption risk assessment, and tenure assessment are being conducted.
- **R5:** National REDD+ Strategy roadmap, a knowledge management strategy and a National Forest Monitoring Systems are under development.
- The NFMS roadmap is available in draft version.

- **R1:** SIS design being elaborated, including an assessment underway of relevant existing information sources and systems by PLR, having selected a prioritized PLR to initiate the work; existing information systems, reporting mechanisms and indicators, being analyzed to identify information that will be used in the SIS to provide information on how REDD+ safeguards being addressed and respected, in consultation with key stakeholders from civil society and government institutions.
- One area in the PLR framework analysed in terms of information availability; several different government institutions are already collecting relevant information and this could potentially be used for the SIS.
- Panel set up on the national safeguard system (NSS) and SIS to create space for information and dialogue and recommendations from 42 participants from civil society, academia and government
- 'Designing a NSS' document published and includes next steps for the NSS.

MEXICO

Support the development of the National Safeguards Systems that includes the National Safeguards information Systems by conducting the remaining relevant analysis an promoting agreements needed to consolidate the conceptual model of the SNs and the design of the SIS. (SG&MB)

A request for US\$ 650,000 approved in Aug 2014.
12% delivery.

Key results & progress in Jan-June 2015:

- The National Forestry Commission of Mexico (*Comisión Nacional Forestal or CONAFOR*) capacities of coordination and implementation of safeguards related matters increased through the hiring a consultant within the CONAFOR REDD+ and safeguards team (starting from April 2015) and related remote support.
- The country progressed towards a common understanding of and strengthening of capacities on safeguards and safeguards information systems through discussions and working sessions at subnational level through public consultations of the REDD+ national strategy (with representatives of the 32 states)

MONGOLIA

Support to development of Action Plan for NFMS for REDD+; development of Forest Satellite Monitoring System; re-design of National Forest Inventory methodology; governance and Social Safeguards; Institutional Capacity Development of the Forestry Agency; and Identification of Potential Options for Equitable and Transparent Benefit; options for addressing drivers of deforestation and forest degradation; sectoral coordination and collaboration for REDD+ identified and implemented; spatial decision support tools for REDD+ planning to help deliver multiple benefits and reduce potential risks; capacity-building of national stakeholders on integrated planning, spatial analysis and information systems to support the forest sector and REDD+ planning that incorporates multiple benefits and environmental safeguards. (MRV&M, GOV, SG&MB)

Requests for a total amount of US\$ 576,200 approved in 2012 and 2014.

TS1 approved in July 2012 (US\$ 185,500), TS2 approved in June 2014 (US\$ 220,000), TS3 approved in Oct 2014 (US\$ 170,700).

TS1: 114%, TS2: 7 %, TS3: 21% delivery.

TS1 completed.

Key results & progress in Jan-June 2015:

TS2:

- An inception report from the assessment of deforestation and forest degradation and enhancement potential and of capacity against international requirements produced. Stakeholder validated final reports are expected by the end of September 2015. The National Programme is going to enter inception in the beginning of October; therefore, the targeted support deliverables will be immediately utilized for PAMs prioritization and setting up necessary institutional arrangements.
- NFMS action plan endorsed by Mongolia Government
- Satellite Land Monitoring System (SLMS) capacity enhanced with a technical support mission on SLMS during March.
- GHG capacity enhanced with a technical training on GHG during May to 6 experts (83% women), from five national institutions.

TS3:

- This work started formally in May 2015 with a planning mission to Ulaanbaatar and finalisation of the workplan and collection of existing spatial data initiated.

MYANMAR

Support to consultations process in view of the development of the Roadmap, consultation process related to MRV and NFMS, stakeholders engagement and REDD+ awareness; effective and participatory governance arrangements for REDD+ - REDD+ safeguards definition and national safeguards information system development; NFMS and preliminary RELs/RLs developed; and National REDD+ Strategy development. (MRV&M, GOV, SG&MB)

Requests for a total amount of US\$1,243,000⁴ approved in 2013 and 2014.

TS1 approved in Feb 2013 (US\$ 128,000), TS2 approved in May 2014 (US\$ 1,115,000).

TS1: 34%, TS2: 17% delivery.

TS1 completed.

Key results & progress in Jan-June 2015:

TS2:

- The supported Asia Indigenous Peoples Pact (AIPP), in collaboration with The Promotion of Indigenous and Nature Together (POINT),

undertook in May a two-day preparatory meeting of indigenous peoples, and a one-day national dialogue with the Forest Department of Myanmar. There were 33 indigenous participants. The participants discussed their concerns and formulated recommendations, which were presented during the dialogues with Forest Department. The national meeting also served as a platform for establishing linkages between and amongst leaders and representatives of indigenous organizations and communities, many of whom met for the first time, and committed to work together on REDD+ and related issues. In particular, the participants agreed to strengthen and use the existing Indigenous Peoples Forum of Myanmar to monitor REDD+ process and to form the indigenous peoples working group on REDD+ within the forum.

- Initial work begun on a social and environmental SIS, which draws on the REDD SES framework.
- UN-REDD targeted support project office established at Forestry Department, and working closely with the department and stakeholders on elaborating NFMS and FREL/FRL action plans.
- Capacity needs assessment carried out according to 15 major criteria relevant for NFMS and FREL development
- First draft of NFMS action plan developed.
- NFI/NFM training carried out for technical personnel of the FD at the FSI in Dehra Dun, India.
- First national FREL workshop completed (35 participants, 20 male, 15 female), essential elements for FREL action plan developed.
- Stakeholder participation dynamics for NFMS and FREL work (MRV group) reinitiated.

NEPAL

Support to monitoring of PAMs using Proxy indicators and PLR and institutional review; REDD+ Strategy Options and REDD+ Fund Management at the National Level; Natural Capital Accounting for Forestry Sector in Nepal and coordination of TS activities. (MRV&M, GOV, GE&PS)

Requests for a total amount of US\$ 924,830 approved in 2012 and 2014.

TS1 approved in 2012 (US\$ 85,000), TS2 approved in June 2014 (US\$ 759,830), TS3 approved in February 2015 (US\$ 80,000).

TS1: 65%, TS2: 45%, TS3 0% delivery.

TS1 completed.

⁴ In addition, in kind support.

Key results & progress in Jan-June 2015:

TS2:

- **R3:** Field surveys to support valuation of forest ecosystem services have started.
- **R4:** Report on PLR and institutional framework completed and submitted to REDD+ Implementation Centre.
- **R5:** District-level multi stakeholder workshop on driver and solution analysis conducted and potential interventions for District REDD+ Action Plan (DRAP) drafted.

PAKISTAN

Support to national REDD+ Readiness Roadmap, including proposals for Roadmap implementation; capacity development for MRV Action Plan implementation; preparedness for REDD+ through increased knowledge and capacity related to the legal and institutional framework at the national and provincial levels (legal preparedness); and advance the understanding on land tenure. (MRV&M, GOV)

Requests for a total amount of US\$ 167,000 approved in 2012 and 2014.

TS1 in 2012 (US\$ 107,000), TS2 approved in Nov 2014 (US\$ 60,000).

TS1: 115%, TS2: 0% delivery.

TS1 completed.

Key results & progress in Jan-June 2015:

- TS2: NFMS Action Plan drafted.
- Training on Greenhouse gas Inventory delivered to 35 participants (14% women).

PANAMA

Support to complete the country's NFMS; start forest monitoring at community level; promote direct investment in emission reduction activities; identify green economy funding options to stimulate demand for REDD+. (MRV&M, GE&PS)

Requests for a total amount of US\$ 392,400 approved in 2014 and 2015.

TS1 in Aug 2014 (US\$ 95,000), TS2 approved in Dec 2014 (US\$ 250,000) and TS3 approved in Jan 2015 (US\$ 47,400)

TS1: 100%, TS2: 31%, TS3: 11% delivery.

Key results & progress in Jan-June 2015:

- **TS1+TS2, R1:** Final draft of proposal of the community protocol of intellectual property on traditional knowledge of floristics species and recollection of herbarium specimens in indigenous

territories. The draft was reviewed by indigenous and non-indigenous technical staff and was approved by the authorities of COONAPIP. The document will be presented to traditional indigenous authorities to obtain their final recommendations.

- **TS1+TS2, R3:** Training was conducted in GIS and remote sensing with indigenous technicians of different indigenous areas (8 to 19 June), including theory and practice field for community forest monitoring. The territories to develop the pilot projects were identified. The process of purchasing equipment for community monitoring in indigenous territories began.
- **TS1+TS2, R5:** The data recollected in the pilot phase of the national forest and carbon inventory (INFC) was analyzed. The results of the pilot phase were presented and discussed with MIAMBIENTE and key partners, as well the final design options and recommendations to the INFC.
- TS2: The GE&PS activities are expected to begin during the second half 2015.

PARAGUAY

Support to the development of the National Forest Monitoring System web dissemination platform (beta version) in Paraguay. (MRV&M)

A request for US\$ 100,000 approved in 2012.

93% delivery.

- No-ongoing activities: targeted support completed and reported.

PERU

Support to classification system of land use harmonized Peru; integration initiatives towards a multipurpose forest monitoring; calculation Tools "Open Foris Calc" module for forest inventories; National Forest Information System and Wildlife; regulation of Forestry and Wildlife Law; guidelines for users of the Forestry and Wildlife Law and Regulation; training for REDD+, specifically the Peruvian context, indigenous peoples and other local stakeholders related to forest; generating demand for REDD+; tools for private sector involvement in conservation of forests and REDD+; and support to business models for the private sector in the conservation of ecosystem services and REDD+. (MRV&M, GOV, SE, SG&MB, GE&PS)

Requests for a total amount of US\$ 1,070,763 approved in 2012 and 2014.

TS1 in 2012 (US\$ 145,000), TS2 approved in Oct 2014 (US\$ 925,763).

TS1: 96%, TS2: 39% delivery. TS1 completed.

Key results & progress in Jan-June 2015:

TS2:

- Communications plan in preparation phase.
- Strengthening Capacities Strategy on REDD+ and Forest Management: (1) First stakeholder mapping draft finalized; (2) first concept note of the Strategy consulted with the Project Steering Committee; (3) first draft gender plan finalized; and (4) topics to be covered by the Strategy and CB formats agreed with government (GoP) and indigenous peoples organizations.
- Forest and Climate Change Fund: (1) First general design of the fund finalized; (2) Consultations with national counterparts on the design and options for the fiduciary entity conducted; (3) agreements on the financial design to implement the Declaration of Intent Peru-Norway/Germany reached (DoI); (4) "interim" financial mechanism to implement the DoI in late stages of definition (due diligence process to follow); and (5) legal analysis to finalize the "final" financial arrangement started with a view of completing it at the end of 2015.
- Proposal for institutional arrangements for SIS: (1) scope of the activity being clarified with the GoP; (2) draft ToRs proposed.

THE PHILIPPINES

Support to development of a capacity-building programme for the provision of information on safeguards at local level; development of REDD+ Readiness Developing Options for Benefit Distribution; and addressing Corruption Risks. (GOV, SG&MB)

A request for US\$ 131,000 approved in 2012.

98% delivery.

- No-ongoing activities; targeted support completed and reported.

SOUTH SUDAN

Support to enhance stakeholder engagement in forest governance and REDD+ in response to specific technical and capacity needs identified by the country to strengthen national REDD+ readiness. (GOV)

A request for US\$ 46,729 approved in March 2013.

76% delivery.

- No ongoing activities; targeted support completed and reported.

SRI LANKA

Support to the REDD+ preparation proposal; Development of the MRV action plan and support strengthening women's inclusion and address gender considerations in REDD+ policy processes by establishing a gender sub-group within Sri Lanka's REDD+ CSO Forum. (SE)

Requests for a total amount of US\$ 43,900 approved in 2012 and 2014.

TS1 in 2012 (US\$ 4,000), TS2 approved in June 2012 (US\$ 9,900), TS3 approved in June 2014 (US\$ 30,000)

TS1-TS2: 110%, TS3: 0% delivery.

TS1 and TS2 completed

Key results & progress in Jan-June 2015:

- **TS3:** Preparation phase: recruitment of two national gender experts who will support the implementation. Project extended until 31 December 2015.

REPUBLIC OF THE SUDAN

Support to capacity building on technical aspects of REDD+, conducting an initial REDD+ needs assessment and supporting the drafting of a roadmap for work to be undertaken in preparing for REDD+. (SG&MB, GE&PS)

A request for US\$ 27,900 approved in June 2014.

107% delivery.

- No-ongoing activities; targeted support completed and reported.

SURINAME

Support to Strengthening frameworks for implementing REDD+ policies and measures; the CCDA to undertake its role in leading Suriname's REDD+ readiness process; a review of the institutional arrangements proposed in the R-PP with recommendations towards an interim/formal grievance and redress mechanism; a review of the R-PP project document regarding Inter-American Court of Human Rights "Saramaka Judgement". (GOV, SE)

Requests for a total amount of US\$ 165,000 approved in 2012 and 2013.

TS1 approved in Aug 2012 (US\$ 100,000), TS2 approved in Sept 2013 (US\$ 65,000).

TS1: 86%, TS2: 29% delivery.

No-ongoing activities; targeted support completed and reported.

TUNISIA

Support to the situation of forest and pastoral land issues and implications for REDD +; work plan development to meet the challenges of land REDD + and support to the development of REDD + strategy of the country. Support to the sewerage master plan for the land situation developed as part of the National Strategy for Development and Sustainable Management of Forests and Range, incl. specific considerations in REDD +. Support to the capacity of technicians and engineers are reinforced and stakeholders are involved and aware. (GOV)

A request for US\$ 79,500 approved in Jan 2015.
27% delivery.

Key results & progress in Jan-June 2015:

- The national inception workshop was held in June, in conjunction with the CNA inception meeting, and included representatives from Algeria, Morocco and Lebanon to foster regional collaboration. The workshop presented the scope of the work, collected participants' comments and also provided an opportunity to discuss the Voluntary Guidance on Tenure and their relationship with REDD+. The research methodology has been developed and desk review work, as well as consultations, has started.

UGANDA (NP)

Support to the identifying, prioritizing and mapping of multiple benefits as well as the development of the safeguards and SIS. (SF&MB)

Request for US\$ 75,000 approved in April 2014.
0% delivery.

Key results & progress in Jan-June 2015:

- **R1:** Identification of preliminary list of priority multiple benefits being undertaken; which will serve as the basis of developing the terms of reference for a capacity building session in spatial analysis for REDD+ planning.
- **R2:** Consultations undertaken at workshop held in Kampala on Uganda's safeguards and SIS roadmap, for the National Technical Committee (NTC) as well as targeted members of other institutions, ministries, civil society organisations and the private sector.

- **R3:** Technical help desk related to safeguards/multiple benefits established and support provided.

VIET NAM

Support to enter initial phase of Participatory Governance Assessment. (GOV)

Request for US\$ 116,000 approved in 2012.
100% delivery.

- No-ongoing activities; targeted support completed and reported.

ZAMBIA (NP)

Support to improve the quality of land cover change assessment and to establish forest Reference Emission Level/Reference Levels in Zambia. (MRV&M)

A request for US\$ 40,000 approved in Sept 2014.
66% delivery.

Key results & progress in Jan-June 2015:

- The land cover change assessment was finalized and change maps are ready. Results will be available shortly after the accuracy assessment and quality control are completed.
- 'Benefits of Forest Ecosystems in Zambia and the role of REDD+ in a Green Economy transformation' discussed in a high-level representatives of the Government of Zambia during a meeting held in Lusaka on 28 April 2015. The findings of the report were included in an 'issues and options' report that will feed into the development of a REDD+ National Strategy of Zambia. Link to media on UN-REDD website.

REGIONAL/MULTI-COUNTRY REQUESTS

PNG AND SOLOMON ISLANDS

Support to the development of a proposal for a regional support structure for forest monitoring and measurement for the Pacific. (MRV&M)

Request for US\$ 100,000 approved in June 2012.
112% delivery.

- No-ongoing activities: targeted support completed and reported.

PACIFIC ISLANDS

Support to the Pacific⁵ for the establishment of forest monitoring and forest inventory support facilities, training of PIC government counterparts. (MRV&M)

A request for US\$ 1,415,000 approved in April 2014.

70% delivery.

Key results & progress in Jan-June 2015:

Overall:

- The project started in May 2014 and has delivered 8 regional workshops, 4 national workshops and 4 backstopping missions, involving 285 participants from 11 Pacific island countries in the first year of operation. This has resulted in 1445 training days being provided to participating countries.
- Project delivery has been through three collaborative arrangements with the Secretariat of the Pacific Community – Land Resources Division (SPC-LRD), Secretariat of the Pacific Community Geosciences (SPC-SOPAC) and with the Solomon Islands Government.

The key results of the first year implementation are:

- Regional forest inventory and forest monitoring facilities have been established;
- A regional network of Forest Inventory Technicians has been established (with mailing list and associated website)
- A regional Land Use Classification Scheme (LUCS) has been developed and adopted by PIC's
- A Regional Forest Monitoring System has been developed and documented;
- Fiji, Solomon Islands and Vanuatu have been able to independently produce national forestry activity data to support a) develop their national forest monitoring systems for REDD+ and b) better inform land use policy and decision making;
- Fiji and Solomon Islands has adopted the Regional Forest Monitoring System as the SLMS component of their NFMS;
- The Solomon Islands Government have developed a funding proposal for the implementation of their 'first national forest inventory' – including forest stratification and sampling intensity.

Impacts/outcomes:

- Awareness of and support for national forest monitoring systems for REDD+ has been enhanced in the region;
- The capacity of PICs to produce high quality national forestry data has been enhanced, data collection has supported, and key forestry stakeholders are engaged in data collection processes.

PACIFIC ISLANDS

Regional support to Fiji, Papua New Guinea, Solomon Islands and Vanuatu. Support to capacity enhancement for the construction of FREL/FRL, and draft FREL/FRLs. (MRV&M)

A request for US\$150,000 approved in January 2015.

27% delivery.

Key results & progress in Jan-June 2015:

- South-south knowledge exchange and capacity building on FREL/FRL implemented with a sub-regional workshop consisting of technical experts from Fiji, Papua New Guinea, Solomon Islands, and Vanuatu (Vanuatu were forced to cancel participation due to cyclone PAM) convened in Nadi, Fiji. (16 participants, 60% women)
- A FREL/FRL roadmap was articulated for participating countries with a further in-country FREL/FRL workshop during 2015.

WEST AFRICA

Regional support assisting Benin, Burkina Faso, Côte d'Ivoire, Gambia, Ghana, Guinea-Bissau, Guinea-Conakry, Liberia, Mali, Niger, Nigeria, Senegal, Sierra Leone and Togo). Support for strengthening regional capacity in West Africa for national forest carbon inventories. (MRV&M)

Request for US\$ 162,000 approved in May 2015.

0% delivery.

Key results & progress in Jan-June 2015:

- In preparation phase with the work to be initiated in August 2015.

⁵ The regional support includes support to Fiji, Kiribati, Marshall Islands, Nauru, Palau, PNG, Samoa, Solomon Islands, Tonga, Tuvalu and Vanuatu.

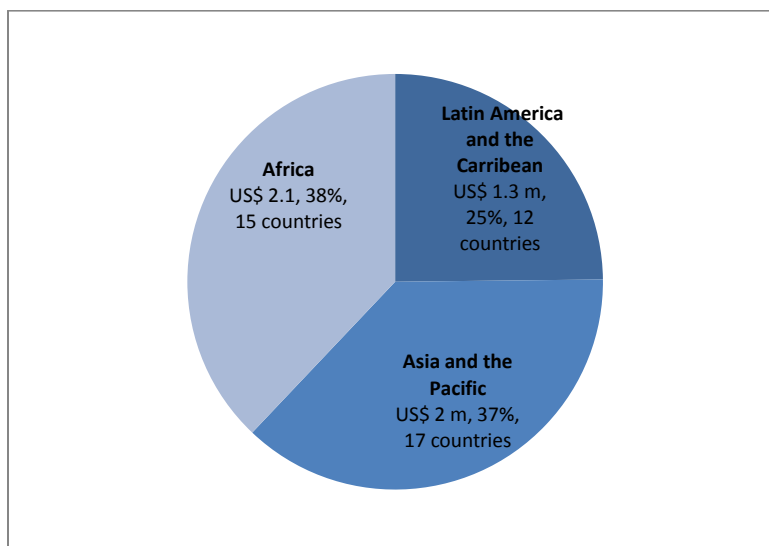
5.2 Backstopping

In the six month period, backstopping to partner countries amounted to US\$ 5.4 million in total. This note-worthy support provided by the participating UN organizations served as a complementary form of support to countries with National Programme and to activities in partner countries without National Programmes. Support was provided to 44 countries with the largest amount in backstopping costs referring to the Africa region and Asia and Pacific region. (Figure 2)

Of the five new partner countries joining the UN-REDD Programme within the reporting period, Vanuatu already received technical backstopping as well as targeted support.

In total during 2013 - 30 June 2015, the amount in backstopping costs is US\$ 23.3 m. A number of 50 countries have received support out of the 61 partner countries. It is also relevant to note that knowledge management support⁶ has been provided to all partner countries.

Figure 2. Proportion of backstopping expenditure from 1 January to 30 June 2015 across regions and number of countries supported.



⁶ Knowledge support to partner countries includes participation in workshops, REDD+ Academy sessions and access to technical capacity building knowledge via the UN-REDD Programme Workspace.

6. Financial Information

Table 2 provides financial information at the Output and Outcome levels and the breakdown by the participating UN Organizations for the period 1 November 2011 – 30 June 2015 of the SNA.

Table 2. Budget and expenditure of the SNA from November 2011 to June 2015 at the output and outcome levels and a breakdown by the participating UN Organizations. (US\$)

Programme Outcome (Reference: SNA Global Programme Framework Document)	Part. UN Org.	Approved Budget 1 Nov 2011 – 31 December 2014	Approved Budget Jan- Dec 2015	Cumulative Approved Budget 1 Nov 2011 – 31 Dec 2015	Prior Years' Expenditures			January - June 2015 Expenditures and commitments ⁷			Cumulative: Expenditures + Commitments (1 Nov. 2011 - 30 June 2015) (B+C+D+G)	Expend. + Commit. / Approved Budget (%) (H/A)
					November 2011-2012 Expenditures	2013 Expenditures	2014 Expenditures	Jan-June 2015 Expenditures	Programmatic & Financial Commitments as of 30 June	Total Expend. + Commit. (E+F)		
				A	B	C	D	E	F	G	H	I
Outcome 1: REDD+ countries have systems and capacities to develop and implement MRV and monitoring												
Output 1.1:	FAO	5,491,802	1,318,914	6,810,716	1,533,790	1,192,714	1,596,543	1,027,560	58,652	1,086,212	5,409,259	
Output 1.2:	FAO	15,039,181	4,135,314	19,174,495	3,809,070	2,769,805	5,005,343	3,869,137	2,782,426	6,651,563	18,235,781	
	UNDP	577,000	170,782	747,782	290,759	169,342	175,021	68,425	69,138	137,563	772,685	
	UNEP	135,000		135,000	122,307	12,692					134,999	
Output 1.3:	FAO		2,739,705	2,739,705				374,501	2,245,006	2,619,507	2,619,507	
Sub-total Outcome 1		21,242,983	8,364,715	29,607,698	5,755,927	4,144,553	6,776,907	5,339,623	5,155,222	10,494,845	27,172,232	92%
Outcome 2: Credible, inclusive national governance systems are developed for REDD+ implementation												
Output 2.1:	FAO	831,640	295,459	1,127,099	197,990	203,586	290,457	33,185	164,808	197,993	890,026	
	UNDP	3,310,198	417,724	3,727,922	802,655	556,151	915,608	343,690	326,551	670,241	2,944,655	
Output 2.2:	UNDP	5,314,890	1,436,384	6,751,274	82,556	1,586,292	2,628,352	1,556,714	1,228,230	2,784,944	7,082,144	
Output 2.2 bis:	UNDP		1,936,384	1,936,384					1,118,043	1,118,043	1,118,043	
Output 2.3:	FAO	1,255,641	391,992	1,647,633	179,204	287,177	187,726	161,527	427,968	589,495	1,243,602	
	UNEP	275,000		275,000			240,284	34,716		34,716	275,000	
Output 2.4:	UNDP	1,816,872	753,207	2,570,079	59,492	463,081	676,495	430,035	1,306,809	1,736,844	2,935,912	
Output 2.5:	FAO	1,298,329	214,721	1,513,050	162,982	203,205	276,636	95,413	193,844	289,257	932,080	
Output 2.6:	UNDP	2,299,243	345,240	2,644,483	593,838	541,581	623,215	218,085	486,446	704,531	2,463,165	

⁷ These are binding programmatic and financial commitments entered into by the agencies to be disbursed in the future period(s).

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Output 2.7:	FAO	998,113	825,114	1,823,227	197,090	373,072	160,493	248,793	645,732	894,525	1,625,180	
Output 2.8:	UNDP	713,493	150,000	863,493	50,885	199,390	82,744	55,353	57,579	112,932	445,951	
Sub-total Outcome 2		18,113,419	6,766,225	24,879,644	2,326,693	4,413,535	6,082,010	3,177,511	5,956,010	9,133,521	21,955,759	88%
Outcome 3: National systems for transparent, equitable, credible and accountable management of REDD+ funding are strengthened. (Expenditures up to 31 December 2012 only. Outcome activities discontinued)												
Output 3.2:	FAO	178,096		178,096	80,168						80,168	
Output 3.4:	UNDP	247,305		247,305		30,807	69,347	1,511	9,694	11,205	111,359	
Sub-total Outcome 3		425,401		425,401	80,168	30,807	69,347	1,511	9,694	11,205	191,527	45%
Outcome 4: Indigenous Peoples, local communities, civil society organizations and other stakeholders participate effectively in national and international REDD+ decision making, strategy development and implementation.												
Output 4.1:	UNDP	1,466,917	384,879	1,851,796	128,041	95,156	260,658	141,877	197,335	339,212	823,067	
Output 4.2:	UNDP	1,011,199	79,679	1,090,878	390,272	377,584	360,023	166,960	110,610	277,570	1,405,449	
Output 4.3:	UNDP	2,273,280	1,253,650	3,526,930	69,958	663,577	762,393	342,851	807,639	1,150,490	2,646,418	
Output 4.4:	UNDP	1,267,243	316,175	1,583,418	300,715	227,349	280,521	88,874	30,536	119,410	927,995	
Output 4.5:	UNEP	2,450,000	1,206,000	3,656,000	188,897	266,103	913,579	1,081,422	1,044,511	2,125,933	3,494,512	
Output 4.6:	UNDP	3,738,318		3,738,318			140,045	103,264	3,495,008	3,598,272	3,738,317	
Sub-total Outcome 4		12,206,957	3,240,383	15,447,340	1,077,882	1,629,769	2,717,219	1,925,248	5,685,639	7,610,887	13,035,757	84%
Outcome 5: Safeguards are addressed and respected and multiple benefits of REDD+ are realized												
Output 5.1:	UNDP	753,390		753,390	279,763	-27,449	64,345	34,624	40,000	74,624	391,283	
	UNEP	2,380,575	1,389,637	3,770,212	157,152	703,707	1,095,271	424,445	1,104,697	1,529,142	3,485,272	
Output 5.2:	FAO	1,704,765		1,704,765	337,287	359,689	363,259		415,111	415,111	1,475,346	
	UNDP	1,620,365	533,235	2,153,600	445,308	187,501	197,774	106,660	280,208	386,868	1,217,451	
	UNEP	2,637,801	1,011,156	3,648,957	281,783	569,572	829,777	956,669	745,895	1,702,564	3,383,696	
Output 5.3:	FAO		326,706	326,706				184,534	58,652	243,186	243,186	
	UNDP	1,197,063	471,760	1,668,823	604,335	249,326	292,214	97,514	535,730	633,244	1,779,119	
	UNEP	3,472,017	785,104	4,257,121	1,344,422	527,213	959,529	640,853	785,104	1,425,957	4,257,121	
Sub-total		13,765,976	4,517,598	18,283,574	3,450,050	2,569,559	3,802,170	2,445,299	3,965,397	6,410,696	16,232,475	89%

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Outcome 5												
Outcome 6: Green economy transformation and REDD+ strategies and investments are mutually reinforcing												
Output 6.1:	UNEP	2,208,991	443,924	2,652,915	296,792	605,855	709,263	597,081	443,923	1,041,004	2,652,914	
Output 6.2:	UNEP	2,590,603	591,822	3,182,425	605,415	622,219	598,134	764,835	530,538	1,295,373	3,121,141	
	UNDP	213,102		213,102	26,450	61,995	69,295	69,020	37,319	106,339	264,079	
Output 6.3:	UNDP	1,675,000	377,878	2,052,878			657,457	314,779	1,080,642	1,395,421	2,052,878	
	UNEP	2,974,906	672,576	3,647,482	812,923	617,477	372,413	1,073,226	771,444	1,844,670	3,647,483	
Sub-total Outcome 6		9,662,602	2,086,200	11,748,802	1,741,580	1,907,546	2,406,562	2,818,941	2,863,866	5,682,807	11,738,495	100%
Outcome 7: UN-REDD Programme knowledge is developed, managed, analyzed and shared to support REDD+ efforts at all levels (lead/coordinated by the Secretariat)												
Output 7.1:	FAO & Sec	218,026		218,026	219,481	11,112					230,593	
	UNDP & Sec	620,704	185,040	805,744	9,990	33,240	66,695	74,079		74,079	184,004	
	UNEP & Sec	487,134	184,435	671,569	269,491	27,307	117,766	63,602	144,431	208,033	622,596	
Output 7.2:	FAO & Sec	949,911	235,531	1,185,442	213,866	288,017	307,671	246,677	23,198	269,875	1,079,429	
	UNDP & Sec	1,799,611	78,750	1,878,361	40,416	24,653	141,120	335,375	349,523	684,898	891,087	
	UNEP (Sec)	860,915	382,305	1,243,220	44,589	412,984	211,493	168,221		168,221	837,287	
Output 7.3:	UNEP	1,100,000	-	1,100,000			616,473	26,033	457,494	483,527	1,100,000	
Sub-total Outcome 7		6,036,302	1,066,061	7,102,363	797,832	797,313	1,461,217	913,987	974,646	1,888,633	4,944,995	70%
Outcome 8: Timely and effective UN-REDD Programme Secretariat services provided to the UN-REDD partner countries, Policy Board and the UN agencies												
Output 8.1:	All	2,776,018	532,517	3,308,535	564,230	557,315	1,341,454	262,613	178,718	441,332	2,904,330	
Output 8.2:	All	1,295,237	571,971	1,867,208	379,629	531,982	304,876	248,024	168,790	416,814	1,633,301	
Output 8.3:	All	1,079,364	574,583	1,653,947	355,638	421,351	152,438	291,793	198,576	490,369	1,419,795	
Output 8.4:	All	2,048,940	875,010	2,923,950	739,160	607,980	548,777	320,972	218,433	539,405	2,435,322	

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Output 8.5:	All	1,560,107	320,407	1,880,514	273,425	202,660	548,777	557,529	176,602	734,131	1,758,993	
Output 8.6:	All	288,834	418,858	707,692	-	126,662	152,438	189,665	129,075	318,740	597,840	
Output 8.7:	All	1,200,000	-	1,200,000	-	-	2,346	267,864	932,136	1,200,000	1,202,346	
Sub-total outcome 8		10,248,500	3,293,346	13,541,846	2,312,082	2,447,950	3,051,104	2,138,461	2,002,330	4,140,791	11,951,927	88%
Total per Part. UN Org. (all outcomes):	FAO	31,617,355	11,314,609	42,931,964	7,477,010	6,223,809	8,945,353	7,427,306	8,044,176	15,471,482	38,117,654	89%
	UNDP	34,220,102	10,050,699	44,270,801	4,817,235	6,354,018	9,408,291	4,897,069	11,918,904	16,815,973	37,395,517	84%
	UNEP	25,864,684	7,969,219	33,833,903	5,247,966	5,364,664	8,012,893	6,436,205	6,416,281	12,852,486	31,478,009	93%
Indirect Support Cost (7%)		6,419,150	2,053,417	8,472,567	1,225,955	1,255,974	1,845,658	1,074,885	1,846,555	2,921,441	7,249,027	
Grand Total		98,121,291	31,387,943	129,509,234	18,768,166	19,198,465	28,212,194	19,835,466	28,225,916	48,061,382	114,240,207	88%

Notes:

1. Included in the funds approved for UNEP is US\$ 613,695 deposited directly to UNEP by the Government of Spain for the SNA-Global Programme. As such the amount not included in approved amount reported by the Multi-Partner Trust Fund (MPTF) in the consolidated report or on the Gateway. The indirect support cost charged for these funds is 8% as per the signed contribution agreement.
2. Participating UN Organizations are required to submit year-end expenditures by April 30 in the following year. Interim expenditure figures are submitted on a voluntary basis and therefore current 2015 figures are not final until the year-end expenditures have been submitted. The expenditures for the current period, January-June 2015, are therefore interim and comprise expenditures (actual disbursements) and commitments entered in the individual agency systems by the Participating UN Organizations. Although the commitments are not reflected on the MPTF Gateway, they represent binding obligations to be disbursed in future periods.
3. Due to system cut off dates for reporting, actual disbursement figures for FAO were understated by US\$ 84,380 on the MPTF GATEWAY. Also due to the move to the new UMOJA SAP financial reporting system for UNEP, the amount of US\$464,927 was inadvertently not included in the expenditures reported on the MPTF Gateway, these two amount to US\$ 549,307, which is the difference between current MPTF Gateway expenditure figures and table above. This will be fully adjusted and reported at year end final reporting.
4. Indirect support cost calculations are currently less than 7%. This will be adjusted at year end reporting

List of Abbreviations and Acronyms

CBR+	Community-based REDD+
CSO	Civil Society Organization
DRC	Democratic Republic of the Congo
FAO	Food and Agriculture Organization of the United Nations
FCPF	Forest Carbon Partnership Facility
FLEGT	Forest law enforcement, governance and trade
FREL	Forest reference emissions level
FRL	Forest reference level
GE&PS	Green economy and private sector
GHG	Greenhouse gas
GIS	Geographic information systems
GOV	Governance
ICA	Institutional and context analysis
INFC	National forest and carbon inventory
LCCS	Land cover classification systems
LULUCF	Land use, land-use change and forestry
MPTF	Multi-Partner Trust Fund
MRV&M	Measurement, reporting and verification, and monitoring
NSC	National Steering Committee
NFI	National forest inventory
NFMS	National forest monitoring system
NSS	National safeguard system
PAM	Policies and measures
PES	Payments for ecosystem services
PNG	Papua New Guinea
REDD	Reducing emissions from deforestation and forest degradation
REL	Reference emission level
R-PP	Readiness Preparation Proposal
SE	Stakeholder engagement
SF&MB	Safeguards and multiple benefits
SIS	Safeguard information system

SLMS	Satellite land monitoring system
SNA	Support to National REDD+ Action
SGP	Small Grants Programme
ToR	Terms of reference
UNDP	United Nations Development Programme
UNEP	United Nations Environment Programme
UNFCCC	United Nations Framework Convention on Climate Change
UN-REDD	United Nations Collaborative Programme on Reducing Emissions from Deforestation and Forest Degradation in Developing Countries