



REQUEST FOR TARGETED SUPPORT¹

Design and Development of Robust Systems for Information on Safeguards for Uganda's REDD+ Activities

I. Summary of request

Main objective
(max 100 words)

This request is for technical support to advance the following processes:

1. Identify, prioritize and map multiple benefits that REDD+ could be designed to achieve in Uganda, covering biodiversity, ecosystem services, land-use and pressures on natural resources
2. Design a national and sub-national safeguards information system for monitoring and providing information on how multiple benefits, other impacts, governance, and safeguards are being addressed and respected
3. Design a national forest monitoring system for emissions and removals of greenhouse gases due to avoided deforestation and forest degradation, enhancement of forest carbon stocks, conservation and sustainable management of forests; and developing REL/RL
4. Prepare a "Legal Preparedness for REDD+ and Sustainable Low-Carbon Development in Uganda" study

For objective 4 above, funding to hire a consultant to conduct the study is sought through this targeted support request. For objectives 1-3, support is envisioned to be given in kind, in the following forms:

- Technical support in preparing well thought out terms of reference including setting out good criteria for evaluating technical bids;
- Technical support to look at the deliverables of service providers (contractors and consultants) with a view to ensure that the country can get the best out of them;
- Direct facilitation of some critical process elements; i.e. to support national implementing actors with expertise;

¹ See guidance in the Procedures for Accessing UN-REDD Programme Targeted Support.

Note, the requests for targeted support should be presented by partner countries of the UN-REDD Programme, in coordination with the teams of the participating UN organizations (FAO, UNDP and UNEP) and the Resident Coordinator. If there is a UN-REDD Country Focal Point, he/she submits the request to the UN-REDD Programme Secretariat (E-mail: un-redd@un-redd.org).

Main results expected
(max 200 words)

- Make available global tools (and expertise associated with them) to support the use of these tools in supporting national planning.

This Targeted Support Request is for technical backstopping to support activities that the Ugandan government will conduct through other funding, by providing quality assurance (technical backstopping and platform for international peerage). It covers a helpdesk function from UNEP-WCMC to advise on issues as they arise, and additional engagement from other UN-REDD agencies. Some anticipated outputs and activities for the Targeted Support Request are defined in Annex I. The below results are expected through a combination of the targeted support and other funding sources.

1. Potential multiple benefits, indicators and country-specific assessment processes for safeguards and governance have been identified; prioritized and consulted upon, considering the Cancun safeguards, safeguards in national legislation and donor related safeguards, including the SESA.
2. Capacity has been built in relevant national institutions on spatial analyses of potential multiple benefits and risks from REDD+, as well as land-use and pressures on natural resources and their policy relevance
3. Data and information generated through this process is linked to REDD+ Strategy preparation and other relevant decision-making processes at national and sub-national levels
4. Capacity and awareness of key stakeholders has been raised with regards to the functioning of the REDD+ process, the potential for multiple benefits, and the role of safeguards
5. Relevant thematic Taskforces' capacities has been strengthened to facilitate the process of ensuring a balanced identification and prioritization of multiple benefits and other impacts to support REDD+ planning; preparation of criteria and indicators for governance and safeguards; and designing the safeguards information system and its components
6. A monitoring and assessment plan has been developed for a safeguards information system that monitors prioritized multiple benefits, other impacts, governance and safeguard indicators.
7. A functional national forest monitoring system for REDD+ activities has been developed, and linked to REL/RL
8. A study has been produced on "Legal Preparedness for REDD+ and Sustainable Low-Carbon Development in Uganda"
9. Potentially: formulation of a National Programme for Uganda

Total amount requested (US\$) ²	UNEP: 75 000 USD FAO: 60 000 USD UNDP: 35 000 USD To be provided through in-kind support, valued at the above amounts.
Related Work Area³ as defined in the <u>UN-REDD Programme Strategy</u>	Ensuring multiple benefits of forests and REDD+ National REDD+ Governance MRV and Monitoring
Planned period of implementation	December 2013 – December 2014, could extend beyond this period

Please select below type of funding as appropriate

- ☐ Request for funding for REDD+ activities implemented at the national level in support of existing UN-REDD National Programmes.
- ☒ Request for funding for REDD+ activities complementary to other nationally-defined REDD+ activities (national strategies, RPP and/or bilaterally funded REDD+ activities).
- ☐ Request for funding to support national counterparts in REDD+ partner countries to participate in capacity building that will benefit the implementation of national REDD+ activities.

II. Background (max 800 words)

Include

- *Rationale for the needed support (it should represent additional and specific contribution to national REDD+ efforts with a view to fill gaps or to leverage other activities).*
- *What is the expected contribution of this targeted support to national REDD+ efforts.*
- *If the country has a UN-REDD National Programme, describe how the requested support is needed to fill gaps / be complementary to the already received funding for the National Programme.*
- *Status of REDD+ readiness in the country.*
- *How is the targeted support consistent with the objectives of the UN-REDD Programme.*
- *Any known funding from other sources for REDD-related activities in the country.*
- *Any co-funding for the planned activities within this proposal.*
- *Principal implementing institutions and partner institutions for the planned activities in the request.*
- *How it is foreseen that environmental and social safeguards will be secured, such as indigenous peoples*

and civil society participation, as applicable.

Any needs for in-kind support.

Uganda is currently working on developing its REDD+ strategy, and has recently received an R-PP Preparation Grant from FCPF for continued work on readiness. A collaborative grant from Government of Austria will also support to the implementation of **component 4 in Uganda's R-PP: Development of Robust Systems for National Forest Monitoring and Information on Safeguards for Uganda's REDD+ Activities**. This grant includes three activities: (1) a national forest monitoring system, (2) a system for providing information on how safeguards are being addressed throughout the implementation of REDD+ activities, and (3) a system capable of monitoring benefits during the implementation of REDD+ activities. Expected outputs from these three activities are: (A) a functional national forest monitoring system for REDD+ activities, (B) a national system of monitoring and providing information on how multiple benefits, other impacts, governance, and actual safeguards are being addressed and respected during the implementation of REDD+ activities, and (C) land-use maps and identified pressures on natural resources as basis for benefits out of biodiversity and improved eco-systems.

The FCPF grant and Austrian funding can cover all country based costs for the activities in this request. Technical back-stopping is however needed to provide the quality assurance required for successful delivery of the component. This targeted support request aims to fill this gap by obtaining in-kind support (for output 1-3, which could be provided by UN agencies) and external technical expertise (IDLO envisioned as partner, output 4). The technical support requested would be used to support the activities in Section III.

Principal implementing institutions and partner institutions for the planned activities in the request include:

Institution	Immediate and long-term potential role in developing a monitoring/information system (informed by NFA, FSSD)
The Forestry Sector Support Department (FSSD)	<ul style="list-style-type: none">• Overall coordination as REDD+ Focal Entity• Administrative and logistical arrangements required for meetings and other contacts; including contractual obligations• Elaboration of deliverables• Reporting
Wetlands Management Department	<ul style="list-style-type: none">• Provision of data and information on wetlands and wetlands forests• Host/clearing house for Uganda's wetlands information and data
The National Environment Management Authority (NEMA)	<ul style="list-style-type: none">• Elaboration of the place of CBD-related requirements that must be considered in REDD+• Technical input in the work:<ul style="list-style-type: none">◦ provision of data and information, including but not limited to that held as part of the CBD office, and also as host of the Uganda Biodiversity Clearing House Mechanism• Potential to co-host the multiple benefits database and registry
The National Forestry Authority (NFA)	<ul style="list-style-type: none">• Technical input:<ul style="list-style-type: none">◦ Provision of data and information, including but not limited to that held as part of the national biodiversity survey, and for protected areas under their jurisdiction (CFRs)◦ Provision of technical staff for additional data collection, collation and analysis• Potential to be linked to the multiple benefits database and registry
The District Forest Services	<ul style="list-style-type: none">• Technical input:<ul style="list-style-type: none">◦ Provision of data and information for protected areas under their jurisdiction (local forest reserves, community and private forest areas)

	<ul style="list-style-type: none"> • Main recipients of technical capacity • Potential to be linked to the multiple benefits database and registry
Community members	<ul style="list-style-type: none"> • To participate, provide indigenous knowledge and to be consulted
The Uganda Wildlife Authority (UWA)	<ul style="list-style-type: none"> • Technical input in the work: <ul style="list-style-type: none"> ○ Provision of data and information, including but not limited to that held as part of the national biodiversity survey, and for protected areas under their jurisdiction (National Parks and Wildlife Reserves) ○ Provision of technical staff for additional data collection, collation and analysis • Potential to be linked to the multiple benefits database and registry
The National Agricultural Research Organisation (NARO) and all their relevant institutes)	<ul style="list-style-type: none"> • Technical input: <ul style="list-style-type: none"> ○ Provision of data and information, especially agricultural biological diversity ○ Potential provision of technical staff for additional data collection, collation and analysis • Potential to be linked to the multiple benefits database and registry
Makerere and Mbarara Universities; their Field Stations and relevant Schools and departments	<ul style="list-style-type: none"> • Technical input: <ul style="list-style-type: none"> ○ Provision of data and information, especially local collections, publications and reports ○ Potential provision of technical staff for additional data collection, collation and analysis • Potential direct access rights to the multiple benefits database and registry
Specialised Civil Society Organisations: World Conservation Society, WWF, Nature Uganda, Flora and Fauna International, and others	<ul style="list-style-type: none"> • Technical input: <ul style="list-style-type: none"> ○ Provision of data and information, especially local collections, publications and reports ○ Potential provision of technical staff for additional data collection, collation and analysis • Potential direct access rights to the multiple benefits database and registry
Uganda Bureau of Statistics (UBOS)	<ul style="list-style-type: none"> • Technical input: <ul style="list-style-type: none"> ○ Provision of data and information on metadata, demographic and socio-economic data ○ Potential provision of technical staff for additional data collection, collation and analysis • Potential to co-host the multiple benefits database and registry
External Institutions and organisations, including UNEP, UNDP, FAO, IDLO	<ul style="list-style-type: none"> • Technical input: <ul style="list-style-type: none"> ○ Provision of data and information held by them ○ Potential provision of technical staff for additional data collation and analysis • Potential direct access rights to the multiple benefits database and registry • Potential for additional financial support
Individuals and companies, private sector (Uganda Timber Growers Association)	<ul style="list-style-type: none"> • Tbd

III. Brief workplan with budget and timeline

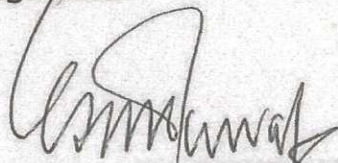
(Please expand space as needed. Alternatively, add Annex with workplan, budget and timeline)

See Annex I

Country contact person for targeted support ⁴

Name and title	MARGARET ATHIENO MWEBESA; ASSISTANT COMMISSIONER, FORESTRY SECTOR SUPPORT DEPARTMENT (FSSD) UN-REDD Focal Point (National REDD+ Focal Point)
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Signature



C. Esimu Okuraja

FOR: PERMANENT SECRETARY,
Ministry of Water and Environment (MWE)

P.O. Box 20026

Kampala

Uganda

23/1/2014

(Date)

⁴ The UN-REDD Country Focal Point or other contact person for the targeted support, if approved.

Annex 1: Work plan and budget

Targeted support is envisaged to be delivered in the following forms:

- Technical support in preparing well thought out terms of reference for each of the elements named in this request; including supporting Uganda to set out a good criteria for evaluating technical bids;
- Technical support to look at the deliverables that service providers (contractors and consultants) with a view to ensure that the country can get the best out of them;
- Direct facilitation of some critical process elements; i.e. to support national implementing actors with expertise
- Make available global tools (and expertise associated with them) to support the use of these tools in supporting national planning;

Description of planned outputs and activities	Amount (US\$)	Implementation period (from month/year to month/year)	Support from different agencies
Output 1: Multiple benefits identified, prioritized and mapped Activity 1.1: <i>Capacity building session provided on spatial analyses of potential multiple benefits and risks from REDD+, including land-use and pressures on natural resources and their policy relevance</i> Activity 1.2: <i>Technical support provided on designing a process for structuring and carrying out data collection, defining policy relevant analysis, and compiling component data sets in a format suitable for additional analyses of relevant forest related values and processes, including components of biodiversity and ecosystem services, land-use and pressures on natural resources.</i> Activity 1.3: <i>Technical support provided to prepare terms of reference for linking the data and information generated to REDD+ Strategy preparation and other relevant decision-making processes at national and sub-national levels, as well as to other relevant information systems</i>	UNEP: 42 300	Feb-June 2014	UNEP

Output 2: A national and sub-national safeguards information system is designed, consulted upon and deployed.	UNEP: 32 700 UNDP contribution?	Jan-Sept 2014	UNEP, UNDP, FAO
<p>Activity 2.1: <i>Technical support is provided to facilitate the process to define objectives of a national and sub-national system for monitoring multiple benefits, other impacts and governance and actual safeguards</i></p> <p>Activity 2.2: <i>Technical support is provided to develop terms of reference, criteria to evaluate technical bids, and reviewing deliverables from service providers to build capacity and awareness of all key stakeholders with regards to the functioning of the REDD+ process, the potential for multiple benefits, and the role of safeguards (especially protected area managers, private forested land holders, communal forest land representatives, policy makers, civil society, forest dependent communities, special interest groups, and other key stakeholders)</i></p> <p>Activity 2.3: <i>Technical support is provided to develop terms of reference for setting up a Taskforce for multiple benefits, other impacts and governance and actual safeguards to facilitate the process of interpreting and to ensure a balanced identification and prioritization of multiple benefits, other impacts; preparation of the criteria and indicators for governance and actual safeguards; and designing the system and its components;</i></p> <p>Activity 2.4: <i>Technical support is provided to develop terms of reference, criteria to evaluate technical bids, and reviewing deliverables from service providers to adapt existing safeguards-related initiatives and frameworks with the aim of identifying and prioritizing multiple benefits, other impacts; and creating Uganda-specific governance and other safeguards indicators; and designing country-specific assessment processes. Safeguards that need to be accounted for include (1) The Cancun safeguards, (2) existing country standards, (3) safeguards related to donor requirements. Examples of other relevant initiatives include UNFCCC (REDD+); CBD (REDD+); UN-REDD, FIP, REDD+ Social & Environmental Standards; standards listed under VCS and other voluntary market systems.</i></p> <p>Activity 2.5: <i>Technical support is provided to develop terms of reference, criteria to evaluate technical bids, and reviewing deliverables from service providers to undertake consultations on identified and prioritized multiple benefits, other impacts; and creating Uganda-specific governance and other safeguards indicators; including on agreed upon assessment processes.</i></p> <p>Activity 2.6: <i>Technical support is provided to develop terms of reference, criteria to evaluate technical bids, and reviewing deliverables from service providers to prepare a monitoring and assessment plan for the system of prioritized multiple benefits, other impacts; and governance and other safeguards indicators; which will include, among other aspects, the following:</i></p> <ul style="list-style-type: none"> i. Determination of specific information that will be collected, where it is found, how it will be gathered and analyzed and who will be responsible; ii. Assessing and reviewing any existing monitoring systems relevant to identified multiple benefits; iii. Selecting multiple benefits to include in the monitoring system; iv. Describe how the monitoring system will address key governance issues pertinent to REDD+ implementation; 			

<p>v. Determine how the Plan will monitor social and environmental impacts and multiple benefits;</p> <p>vi. Defining procedures for multi-stakeholder participation in Monitoring Plan development and implementation;</p> <p>vii. Plan of how the system will integrate across sub-national, ecological, institutional and economic contexts</p>			
<p>Activity 2.7: <i>Technical advice is provided</i> to assess the financial support required to develop the Monitoring Plan, and existing capacities and future capacities required to implement it.</p>			
<p>Output 3: Design a national forest monitoring system for emissions and removals of greenhouse gases due to avoided deforestation and forest degradation, enhancement of forest carbon stocks, conservation and sustainable management of forests; and developing REL/RL</p>	<p>FAO contribution?</p>		
<p>Activity 3.1: <i>Technical support is provided</i> to walk through the cycle of what is required to design, install and operate a robust NFMS;</p>			
<p>Output 4: A study on "Legal Preparedness for REDD+ and Sustainable Low-Carbon Development in Uganda" prepared</p>	<p>FAO contribution?</p>		<p>FAO, IDLO?</p>
<p>Activity 4.1: Develop a study on "Legal Preparedness for REDD+ and Sustainable Low-Carbon Development in Uganda"</p>			

Annex 2: Timeline

[illegible]

[illegible]